SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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			or Section 30(h) of the Investment Company Act of 1940				
	ss of Reporting Pers	on [*]	2. Issuer Name and Ticker or Trading Symbol CORETEC GROUP INC. [CRTG]		tionship of Reporting all applicable)	Perso	on(s) to Issuer
KEEN VICT	<u>OR F</u>			X	Director	Х	10% Owner
·					Officer (give title		Other (specify
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)		below)
C/O THE CORI	ETEC GROUP IN	IC.	07/09/2021		Co-Cha	irmaı	n
333 JACKSON	PLAZA, SUITE	1200					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing	(Check Applicable
ANN ARBOR.	МІ	48103		X	Form filed by One	Repor	ting Person
					Form filed by More Person	One Reporting	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	07/09/2021		S ⁽¹⁾		1,088 ⁽²⁾	D	\$0.1268	3,957,645 ⁽²⁾	I	By Carlton James Ltd
Common Stock	07/12/2021		S ⁽³⁾		1,765 ⁽²⁾	D	\$0.1177	3,955,880 ⁽²⁾	I	By Carlton James Ltd

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts,	calls, warrants,	options, convertible	securities)
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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		Expiration Date (Month/Day/Year) rised . 3, 4			e and ınt of rities rlying ative rity (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On July 9, 2021, Carlton James Ltd. sold an aggregate of 7,407 shares of common stock in open market transactions at a price per share equal to \$0.12675, reducing Mr. Keen's pecuniary interest in the shares held by Carlton James Ltd. by 1,088 shares.

2. Represents Reporting Person's pecuniary interest in shares sold and held by Carlton James Ltd.

3. On July 12, 2021, Carlton James Ltd. sold an aggregate of 12,025 shares of common stock in open market transactions at a price per share equal to \$0.117648, reducing Mr. Keen's pecuniary interest in the shares held by Carlton James Ltd. by 1,765 shares.

/s/ Victor F. Keen

** Signature of Reporting Person Date

07/13/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.